

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/924,961	08/08/2001	Carl M. Hoffmaster	05516.089001

CONFIRMATION NO. 1995

FORMALITIES LETTER



OC000000006561962

Richard A. Fagin
ROSENTHAL & OSHA L.L.P.
Suite 4550
700 Louisiana
Houston, TX 77002

Date Mailed: 09/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/11/2001 SDIRETR1 00000047 500591 09924961

01 FC:101	740.00 OP
02 FC:105	130.00 OP
03 FC:103	3240.00 OP
04 FC:102	1040.00 OP

FILED UNDER 37 CFR 1.53(b)

52.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$4280.
 - \$3240 for 180 total claims over 20.
 - \$1040 for 13 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 5120.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
05516.089001

Re Application Of: Carl M. HOFFMASTER et al.

Serial No.

924,961

Filing Date

August 8, 2001

Examiner

Group Art Unit

3723

Invention: **ADVANCED EXPANDABLE REAMING TOOL**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
September 17, 2001
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ Other (list):

#3.

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**Docket No.
05516.089001

In Re Application Of: Carl M. HOFFMASTER et al.

Serial No.
09/924,961Filing Date
August 8, 2001

Examiner

Group Art Unit
3723Invention: **ADVANCED EXPANDABLE REAMING TOOL**TO THE ASSISTANT COMMISSIONER FOR PATENTS:Box Missing Parts

The fee of \$5,150.00 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-0591
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 50-0591
A duplicate copy of this sheet is enclosed.


Signature

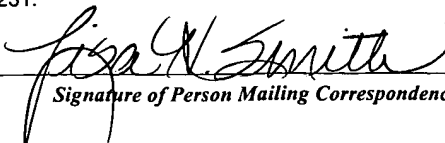
Richard A. Fagin, Reg. No. 39,182
ROSENTHAL & OSHA L.L.P.
700 Louisiana, Suite 4550
Houston, Texas 77002

Telephone: (713) 228-8600
Facsimile: (713) 228-8778

Dated:

10/1/01

I certify that this document and fee is being deposited
on 10/02/2001 with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.


Signature of Person Mailing Correspondence

Lisa H. Smith

Typed or Printed Name of Person Mailing Correspondence

CC:

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
05516.089001

In Re Application Of: **Carl M. HOFFMASTER et al.**

Serial No.
09/924,961

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Group Art Unit
3723

Invention: **ADVANCED EXPANDABLE REAMING TOOL**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☒ Utility application filing fee **\$740.00**

☐ Design application filing fee

☒ Total number of independent claims = **16** **\$1,040.00**

☒ Total number of claims = **200** **\$3,240.00**

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$5,150.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees

Total fees due **\$5,150.00**